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JC960 U.S. PTO

12-10-01 A

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### CERTIFICATE OF EXPRESS MAILING

I certify that this paper and the documents and/or fees referred to as aforesaid herein are being deposited with the United States Postal Service on December 5, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL896843705US, addressed to the Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

Kay Harlow

Attorney Docket No. ADAPP207

First Named Inventor: Manjunath

Narayanaswamy

J1002 U.S. PTO  
10/010846

12/05/01

## UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
Manjunath Narayanaswamy and Madhuresh Nagshain

For: METHOD AND APPARATUS FOR RAID LOAD BALANCING

### Application Elements:

- 19 Pages of Specification, Claims and Abstract
- 05 Sheets of Drawings (Informal)
- 02 Pages Combined Declaration and Power of Attorney

### Accompanying Application Parts:

- Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- 37 CFR 3.73(b) Statement by Assignee
- Information Disclosure Statement with Form PTO-1449
  - Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard
- Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- Other:

### Fee Calculation (37 CFR § 1.16)

	(Col. 1) <u>NO. FILED</u>	(Col. 2) <u>NO. EXTRA</u>	<u>SMALL ENTITY</u> <u>RATE</u>	<u>OR</u>	<u>LARGE ENTITY</u> <u>RATE</u>	<u>FEES</u>
BASIC FEE			\$370	\$	OR	\$740 \$740.00
TOTAL CLAIMS	20	-20 = 00	x09=	\$	OR	x18 = \$
INDEP CLAIMS	04	-03 = 01	x42=	\$	OR	x84 = \$ 84.00
[ ] Multiple Dependent Claim Presented			\$140 = \$	OR	\$280 = \$	
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total \$	OR	Total	<u>\$824.00</u>

Check No. 6150 in the amount of \$864.00 is enclosed.

The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. ADAPP207).

Request for Small Entity Status

Applicant is entitled to small entity status.

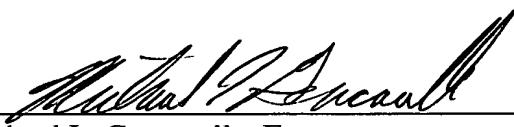
General Authorization for Petition for Extension of Time (37 CFR §1.136)

Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. ADAPP207).

Please send correspondence to the following address:

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Date: December 5, 2001

  
\_\_\_\_\_  
Michael L. Gencarella, Esq.  
Registration No. 44,703

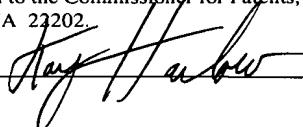
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of )  
Narayanaswamy et al. ) Examiner: Unassigned  
Application No. [To be assigned] ) Art Unit: Unassigned  
Filed: December 5, 2001 ) Docket No. ADAPP207  
For: METHOD AND APPARATUS FOR ) Date: December 5, 2001  
RAID LOAD BALANCING )

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Kay Harlow

REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE & PENILLA, LLP



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